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Business Plan 2023 – 2025 Open Call for Proposals EIT Community Co-Create NEB: Local communities and public authorities building sustainable, beautiful and inclusive public space – 2024 Call Manual

EIT Community NEB: EIT Urban Mobility EIT Climate KIC EIT FOOD EIT Manufacturing Barcelona| 19<sup>th</sup> July 2023







# History of changes

Version	Publication Date	Change
1.0	19.07.2023	Initial version
2.0	26.07.2023	<ul> <li>Addition of a KTI workshop as part of the final report.</li> <li>Addition of gender and ethnic inclusion, as well as potential economic impact as part of the evaluation criteria.</li> <li>Addition of the Declaration of Affiliation template</li> </ul>

Any updates on this Call Manual, if any, are identified in the table above. Amended versions of the Call Manual are published on the EIT Urban Mobility, EIT Climate KIC, EIT FOOD and EIT Manufacturing websites.





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# Abbreviations

BP	Business Plan
CfP	Call for Proposals
EEE	External Expert Evaluator
EIT Community NEB	In this Call, EIT Community NEB refers to EIT Urban Mobility, EIT Climate-KIC, EIT Food and, EIT Manufacturing
EIT	European Institute of Innovation & Technology (EIT)
Coordinating KIC	The KIC that coordinates the grant of a project
FSA	Financial Support Agreement
FS	Financial Sustainability
FSM	Financial Sustainability Mechanism
HE	Horizon Europe
KIC	Knowledge and Innovation Community
KPIs	Key Performance Indicators
MGA	Model Grant Agreement
RIS	Regional Innovation Scheme
SA	Strategic Agenda
SER	Summary Evaluation Report
SO	Strategic Objectives
TRL	Technology Readiness Level





# Glossary

Project Leader Call for Proposals	The Project Leader is the central contact point for EIT Community NEB from the proposal submission stage to the end of the project implementation. The Project Leader represents the project and the consortium partners (the other partners participating in the project, if any) towards EIT Community NEB, and also has responsibility for creating and submitting a proposal. The Call for Proposals is the instrument used to allocate funding by EIT Community NEB to third parties to support the deployment and development of the Strategic Agenda through projects.
Call Manual	The Call Manual is the document where the terms, conditions, and criteria of any Call for Proposals are defined and stated according to the principles of transparency, equal treatment, open competition, and sound procedural management.
Deliverable	Deliverables are tangible or intangible good or service produced at a given moment during the project implementation. Deliverables chart the path to reach project objectives and could be a report, a document, a software product, a course, an event or any other building block of a project. The deliverables specified need to fully demonstrate the achievements of the activity and judicious use of public funds.
EIT KPIs	Set of Key Performance Indicators (KPIs) defined by the EIT that reflect the EIT operational objectives for education, entrepreneurship, and innovation. These KPIs are used to measure how effectively a KIC/project is meeting the objectives of the EIT.
Evaluation Process	Process by which EIT Community NEB, supported by external experts, examines the quality of a proposal to decide if it should be selected to receive EIT funding.
Evaluation Panel	Group of external expert evaluators and Rapporteur, with specific expertise in a specific area/segment of the Call, aiming to evaluate a set of eligible proposals submitted to a Call.
Horizon Europe Model Grant Agreement	The Horizon Europe Model Grant Agreement (HE MGA) sets out the rights and obligations and terms and conditions applicable to the grant awarded.
Knowledge triangle integration	EIT Community NEB aims to gather close-knit partnerships of European education, research and business entities (knowledge triangles) and also involves cities, either in the composition of the members of the projects or in the expected impact of the projects' results.
KIC Specific KPIs	Set of indicators defined by EIT Community NEB that reflect the societal challenge that the KIC is trying to address.
Milestone	Control points to chart progress. They may correspond to the completion of a key deliverable that allows the next phase of work to begin.





Ranking list	List of proposals in order of scoring after the Selection Committee assessment.	
Selection Committee	<ul> <li>The Selection Committee is responsible for the selection of shortlisted proposals and the definition of requirements for the inclusion of the selected proposals in the final EIT Community NEB's portfolio of projects.</li> </ul>	
Summary Evaluation Report	A single and final Summary Evaluation Report (SER) per proposal is produced by the Rapporteur after the consensus meetings. This document summarises the final score, the strengths, weaknesses, risks, and potential recommendations of a proposal.	



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# Introduction

The New European Bauhaus (NEB hereinafter) is one of the main European key initiatives at present and translates the European Green Deal into tangible change on the ground by placing culture and citizens needs at the core of the implementation of the sustainable development goals. EIT and its pan-European thematic KICs are well positioned to drive the NEB change through innovation ecosystems with their thematic expertise. NEB utilises the experience and expertise of EIT Climate-KIC, EIT Digital, EIT Food, EIT Manufacturing and EIT Urban Mobility to advance the implementation phase of the NEB through grassroot activities. The participating KICs create a new and unique set of NEB activities resulting from the combination and blending of their tested and successful ongoing programmes and new ideas.

Since 2021, we have a growing portfolio of projects to support the implementation of New European Bauhaus in cities, rural and peri-urban areas across Europe. We work with innovators, city administrations, research organisations, educational institutions, NGOs and businesses to boost the transformation of their cities. A core summary of the conducted projects during 2021-22 can be found <u>here</u>.

This open Call for Proposals, EIT Community Co-Create NEB, focuses on demonstrating new solutions to boost the transformation of cities, rural and peri-urban areas, by bringing individuals and communities closer to nature, bringing a sense of belonging, addressing the needs of territories, and communities that need specific attention, and transforming value chains and life of materials towards circularity. The Call will identify inspirational, beautiful, sustainable and inclusive project proposals. It is a competitive mechanism addressed to consortia with a minimum of two and a maximum of four partners, one of them being a city, region or affiliated entity associated to a city or region, all located in a EU Member State or a Third Country associated to Horizon Europe<sup>1</sup>. Eight projects will be selected in this Call with a maximum funding of 45,000 EUR per project and a minimum co-funding rate of 25% per project.

This Call for Proposals is also aligned with the KICs' portfolio strategic fit through its alignment with the activity plan approved by the EIT. It reflects the EU dimension character, as the geographical spread within the European Union of the proposals will also be considered.

Applicants selected for this Call for Proposals will have the opportunity to interact with the New European Bauhaus Community, <u>NEBLab</u> and other relevant New European Bauhaus activities through sharing information, best practice, and, where relevant, results. Please visit the official <u>New European Bauhaus</u> website.

<sup>&</sup>lt;sup>1</sup> Entities established in the United Kingdom and in Switzerland are eligible to participate and to receive EIT allocation up to 59.999,99 EUR per organisation, for the entire duration of the BP23-25.





# 1. Call summary

Call for Proposals Main Features			
Key dates of the Call calendar <sup>1</sup> Total estimated EIT Funding allocated to this Call	<ul> <li>Call opening: 19<sup>th</sup> July 2023</li> <li>Call closure: 16<sup>th</sup> October 2023 at 17:00 CET</li> <li>Eligibility and admissibility check: October 2023</li> <li>Evaluation of proposals: November 2023</li> <li>Communication of results: December 2023</li> <li>Communication of the projects: February 2024 (latest Q1)</li> <li>Finalisation of the projects: 31<sup>th</sup> December 2024</li> <li>€360,000</li> <li>Eight projects with up to € 45,000 of EIT Community NEB funding allocation. All proposals must have a minimum co-funding rate of 25%.</li> </ul>		
Link to the submission portal	PLAZA platform will be available by the beginning of August 2023		
List of documents to be submitted	<ul> <li>Application form available on the PLAZA platform</li> <li>Registration document/Declaration of affiliation if applicable</li> </ul>		
List of documents to take into consideration	<ul> <li>Call Manual</li> <li>The <u>New European Bauhaus Compass</u></li> <li>Guidelines for Applicants</li> <li>Eligibility of expenditure</li> <li>Appeal procedure</li> <li>Declaration of affiliation template (if applicable)</li> <li>EIT Community NEB Project Implementation Handbook</li> <li>Financial Support Agreement (FSA) template</li> <li>Horizon Europe Model Grant Agreement</li> <li>List of previously funded projects</li> </ul>		
Short summary of the topics to be addressed	<ul> <li>The EIT Community NEB Challenges cover 4 main thematic axes:</li> <li>Reconnecting with nature</li> <li>Regaining a sense of belonging</li> <li>Prioritising the places and people that need it the most</li> <li>The need for long-term, life cycle thinking in the industrial ecosystem</li> </ul>		
Evaluation criteria	<ul> <li>The proposals will be evaluated based on the criteria listed below, as stated in Section 5 "Evaluation and selection process":</li> <li>Excellence and novelty</li> <li>Impact and social, economic, financial, and general sustainability</li> <li>Quality and efficiency of the implementation</li> </ul>		

 $<sup>^{1}</sup>$  Please note that this calendar is indicative. Dates may be subject to slight changes.





# 2. General requirements

# 2.1 EIT Community NEB strategic vision and mission

Our mission is to build sustainable, beautiful and inclusive public space in line with the New European Bauhaus initiative. These citizen-centred engagement activities will serve to not only identify and prioritise challenges, but also to ideate an initial process to co-create solutions for the most pressing challenges in alignment with the New European Bauhaus core values and principles. All activities need to serve the purpose of achieving the three core inseparable values of the New European Bauhaus:

- Sustainability from climate goals, to circularity, zero pollution, and biodiversity
- Aesthetics quality of experience and style, beyond functionality
- Inclusion valorising diversity, equality for all, accessibility, and affordability

The following three key principles guide and integrate the New European Bauhaus dimensions' development:

- A multilevel approach: from global to local
- A participatory approach
- A transdisciplinary approach

In addition, the New European Bauhaus guided by the following four thematic axes that the Commission decided to follow during the implementation of the New European Bauhaus:

- Reconnecting with nature
- Regaining a sense of belonging
- Prioritising the places and people that need it the most
- The need for long-term, life cycle thinking in the industrial ecosystem

These thematic axes are highly interconnected in support of delivering the New European Bauhaus approach. For example, having access to green spaces can also bring people together. Affordable houses need proximity to the labour market to create a healthy and functional living ecosystem. Local improvement of a place cannot be done without taking the DNA and communities of the place into account. Further details on the strategic focus of the Co-create NEB Call are given in Section 3.

Applicants selected for this Call for Proposals will have the opportunity to interact with the New European Bauhaus Community, NEB Lab and other relevant New European Bauhaus activities through sharing information, best practices, and, where relevant, results. Please visit the official <u>New European Bauhaus</u> website.





# 2.2 Who can apply

This Call for Proposals is open to all legal entities established in Member States (MS) of the European Union (EU), and/or in <u>Third countries associated to Horizon Europe</u>. These legal entities may be, for example, public or private legal entities, SMEs, Universities, research & technology organisations, or businesses.

Applications from <u>RIS countries</u> are positively encouraged to participate.

As a requirement, all proposals must be composed of consortium with a minimum of two and a maximum of four partners located in an EU Member State or a Third Country associated to Horizon Europe. At least two of these partners must be independent of each other<sup>2</sup> and one of these partners must be a city, region or an affiliated entity to a city or region.

In case of new entities with direct links with a city/region not previously validated in EIT Urban Mobility PLAZA as an Affiliated Entity, applicants must upload together with the application form, either the legal registration/official document that proves the legal affiliation with said city/region or a declaration of affiliation signed by the legal representative of the affiliated entity that proves the legal affiliation with said city/region. Applicants can find the template of the declaration in the Call webpage.

#### Specific cases

Entities established in the United Kingdom and in Switzerland are eligible to participate and to receive EIT allocation up to 59.999,99 EUR per organisation, for the entire duration of the BP23-25.

#### Temporary eligibility requirements for Hungarian Universities

Following the Council's Decision on measures for the protection of the Union budget against breaches of the principles of the rule of law in Hungary that entered into force on 15 December 2022, special participation and funding restrictions may affect Hungarian public interest trusts and their linked Universities participating in any EIT Community NEB Calls.

For further information and the list of affected entities, please refer to the *Eligibility of Expenditure* document published on the Call webpage.

This Call for Proposals follows the main rules and principles established by the EIT Community NEB and EU general principles. The Call processes respect the principles of openness, transparency, equal treatment, and efficacy.

<sup>&</sup>lt;sup>2</sup> Legal entities shall be regarded as independent of each other where neither is under the direct or indirect control of the other or under the same direct or indirect control as the other. Please refer to the entire definition in Article 8: https://ec.europa.eu/research/participants/data/ref/h2020/legal\_basis/rules\_participation/h2020-rules-participation\_en.pdf





# 3. Call specific requirements

# 3.1 Strategic focus of the Call

The overall purpose of this Co-create NEB Call for Proposals is to resolve challenges faced by cities, periurban and rural areas, focusing on innovation and action in public spaces through citizen engagement in their re-designing. It aims to work on activities where citizens and end-users are engaged to identify relevant challenges and to create citizen-generated transdisciplinary projects in their community, aligned with the New European Bauhaus initiative. In addition, in alignment with the cities and peri-urban and rural area cross-sectoral challenges, we encourage mapping challenges and developing solutions in collaboration with citizens based on a demand-led approach.

The EIT NEB Community aims to support activities where cities and peri-urban and rural areas can act to deliver on their commitments and public realm development plans laid out in their strategic plans and empowered to create together potential solutions embedding the New European Bauhaus approach in an ideation process aligned with the initiative. It is expected to co-create new solutions, products and services with citizens, empowering them to implement innovations in their communities and trigger change by actively influencing local policies. Citizen engagement that reflects the diversity of voices in the community is essential to developing successful long-term solutions that match the needs of the wider community. Working together in a shared endeavour for a common higher goal, results in a true synergy of innovation, creativity, and design, improving citizens quality of life in cities and driving green and digital transformations. For citizens, this can increase engagement with and social acceptance of change, and encourage a shift to more sustainable behaviours and mindsets. Successful citizen engagement will increase the ability to create, experiment, demonstrate, scale, and deploy innovative solutions for a just, equitable and prosperous future.

## 3.2 Call objective

The primary focus of the Co-create NEB Call for Proposals is to co-create and build sustainable, beautiful and inclusive public space and demonstrate new solutions that boost the transformation of our cities, periurban and rural areas.

All proposals must comply with the following requirements to ensure the project scope is suited:

• Develop activities to improve selected public spaces and/or complement local policy/strategy whilst integrating all three core New European Bauhaus values (sustainability, aesthetics, inclusion) and all three key New European Bauhaus principles (multilevel, participatory, transdisciplinary approach) into their projects.





- The activities must be tailored towards **at least two specific and clearly identified target groups** (can be defined by age, profession, neighbourhoods of the same city, etc.). The proposal needs to include a communication and dissemination plan to engage with target groups in the given period.
- The proposed solution must have the **potential to be implemented on a broader scale and/or replicable** following a context-based approach. Proposals should **aim for long-term sustainable impact.**
- The proposal must provide **deep understanding of the local ecosystem**, including stakeholders, culture and social dynamics, as well as the **role of the city/local authorities** must be well defined.
- The proposed solution must use existing knowledge, innovative methodologies, tools, or processes on target group behaviour with regard to the selected topic and push for/promote real behavioural change and influence policy making.

All activities should leverage and use the **Knowledge Triangle Integration** (KTI) principle to gather and facilitate collaboration with the cities as well as with education, research and business entities. To this aim, proposals must include a joint workshop with the aforementioned stakeholders, where impact assessment data will be discussed and potential opportunities for further research and exploitation will be identified.

# 3.3 EIT Community New European Bauhaus Challenge Areas

For this Co-create NEB Call for Proposals, the EIT Community NEB defines four Challenge Areas based on the New European Bauhaus four thematic axes:

- Reconnecting with nature
- Regaining a sense of belonging
- Prioritising the places and people that need it the most
- The need for long-term, life cycle thinking in the industrial ecosystem

# All proposals must address at least one of the EIT Community New European Bauhaus challenges described below.

## 3.3.1 *Re-connecting with nature*

#### Specific Challenge

The New European Bauhaus movement aims to create greater opportunities for contact with green public spaces that translate into better health and reduce income-related health inequalities. Nature-based solutions in cities can help address floods and other extreme weather events, whilst making the built environment more attractive. Climate action can improve air, water and soil quality and overall living conditions. The COVID-19 pandemic underlined the direct link between nature protection and physical and mental health for citizens. There is a need to go beyond a human-centred to a life-centred perspective, seeking inspiration from nature and learning from it.

#### Examples

Some examples of specific projects that can be addressed are included in the following non-exhaustive list:





- Urban greening, such as urban green corridors for active mobility, rethinking transport infrastructure, interacting with citizens to encourage the uptake of green solutions, co-creation of green space(s) in order to exploit their potential, such as better refresh/cooling an urban area, etc.
- (Re)naturalisation of degraded public areas, green areas, biodiversity, green and blue infrastructure, and urban furniture as assets promoting active mobility.
- Supporting and promoting green jobs and skills, and futures literacy, including life-long learning through nature-based solutions.
- Solutions to enhance crop yield and resilience, making use of more sustainable soil and/or crop management as well as other practices to increase plant tolerance to stress (abiotic, biotic), including climate change.
- Solutions with credible potential to reduce GHG emission or mitigate biodiversity loss from the agrifood production chain, including solutions targeting livestock, human diets, and resource stewardship.

# *3.3.2 Re-gaining sense of community and belonging*

#### Specific Challenge

The New European Bauhaus movement is about collective and private experience. Building bridges between people implies encouraging intergenerational solidarity, developing links between education and the arts in local environments, and improving common spaces and places to meet.

#### Examples

Some examples of specific projects that can be addressed are included in the following non-exhaustive list:

- Enhancement of public realm, degraded public areas, accessibility, and interconnection with more sustainable means of transport, encouraging multiple uses of public space by citizens, creating conditions for enhanced cultural exchange.
- Promotion of proximity economy activities allowing citizens to access key services and amenities within walking distance, strengthening connections and fostering healthy, sustainable, active mobility.
- Repurposing and/or refurbishing public space(s) which can act as a catalyst to rediscover local communities and integrate newcomers.
- Demonstrating the interconnectedness of sustainability, resilience, and community through multifunctional activities addressing all three in a mutually reinforcing manner.
- Enrichment of food culture with local identity, the authenticity of food as a means to reduce food fraud and boost consumer confidence on source and quality.
- Drive food supply-chain optimisation, reduce amount of food lost / wasted and change the shape of demand by setting up innovative systems that promote value-chain linkages.





# *3.3.3 Prioritising the places and people that need it the most*

#### Specific Challenge

The New European Bauhaus movement promotes the inclusion of all citizens, as well as of the places where they live. Beautiful and sustainable solutions have to be affordable and accessible for all. Special attention should be paid to the specific situation of groups and individuals who are the most vulnerable, for instance, at risk of exclusion or poverty or experiencing homelessness. Disadvantaged groups are at greater risk of energy poverty and air pollution and have less access to public transport. Inclusion also implies pursuing a design for all approaches to remove accessibility barriers to the built and virtual environments and to goods and services. The New European Bauhaus clearly goes beyond large city centres and encompasses places in all their diversity, including small villages, rural areas, shrinking cities, neglected city districts, suburbs and de-industrialised areas. This calls for planning to avoid spatial segregation of social groups and create a sense of togetherness. The various parts of a city, a village or neighbourhood should be connected.

#### Examples

Some examples of specific projects that can be addressed included in the following non-exhaustive list:

- Addressing poor transport connections between rural and urban areas.
- Solutions aiming at universal mobility as a key enabler for social inclusion, allowing everyone to move freely within cities regardless of gender, race, beliefs, or disability.
- Climate justice initiatives focusing on equitable distribution of housing and living conditions.
- Green solutions addressing social issues, in line with the "build back better" principle.
- Co-design and test with citizens affordable food products and/ or ingredients customised to vulnerable target groups (elderly, children, etc.), which could include data standardisation for targeted nutrition.

# 3.3.4 Prioritising the need for long-term, life cycle and integrated thinking in the industrial ecosystem

#### Specific Challenge

The New European Bauhaus movement promotes an economy based on circularity to tackle unsustainable practices, including resource uses for obsolete buildings or infrastructures. Addressing these challenges concerns the entire industrial ecosystem, from production to delivery and consumption, with a circular economy mindset. Recovered and renewable materials should be better recognised by all relevant disciplines and become part of design paradigms. The use of sustainably produced and procured nature-based building materials, such as wood, bamboo, straw, cork, or stone should be improved. New production technologies should help reduce the carbon footprint of steel or cement, recycle otherwise wasted textiles and accelerate the green transition of energy intensive industries. New business models, bioeconomy, social economy approaches and Design for Sustainability can support the transformation of sectors such as textiles, tourism, waste management or energy production. The digital transition will play a systematic role in the development and implementation of the New European Bauhaus.





#### Examples

Some examples of specific projects that can be addressed are included in the following non-exhaustive list:

- Circular mobility including shared mobility, such as satisfying user needs without transferring ownership of physical products through shared solutions.
- Solutions for the sensibilities and aesthetics of the circularity concept as user experience; combining circular and cleantech solutions for long-term effect.
- Leveraging existing innovations promoting circularity and market opportunities in the agri-food systems and a circular model maintaining the value of food in the economy for as long as possible. Co-creation of innovative, sustainable packaging concepts to reduce food spoilage.

## 3.4 Expected outcomes, outputs and impacts

All submitted proposals are expected to co-create and, build sustainable, beautiful and inclusive public space in alignment with NEB.

In terms of public realm development strategy, the **projects must comply with at least one of the expected outcome targets:** 

- **Outcome A:** Improved quality of a defined public space by implementing the NEB approach. The proposal must have a clear explanation of how and at what level the project contributes to achieve the selected NEB challenge, including a realistic and achievable plan.
- **Outcome B:** Improved quality of public space by complementing local policy/strategy whilst implementing NEB approach. The proposal must have a clear explanation of how and at what level the project contributes to achieve the selected local strategic plan/documents' targets, including a realistic and achievable plan. The project must provide clear evidence of implementing the NEB approach. Some examples of specific types of local level official strategic documents that can be addressed are included in the following non-exhaustive list:
  - Public realm infrastructure plan or/and urban development plan or/and public realm improvement strategy
  - Commitment statement of the city/region on the relevant segment/area targeted by the project
  - o Sustainable Urban Mobility Plans (SUMPs)
  - Sustainable Energy and Climate Action Plans (SECAPs)
  - o Zero Pollution Action Plan for 2030
  - Waste management plans and/or waste prevention programmes
  - Circular Economy Strategy or Action Plan
  - o Strategy on Adaptation to Climate Change
  - Local Action Plan on Green urban areas and green infrastructure Sustainable Land Use & Soil strategy
  - o Nature Restoration Plan
  - Farm to Fork strategy

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- o Biodiversity strategy for 2030
- Any action plans that can be supported by the project

Any of the above listed outcomes or their combination are eligible to boost desired collaboration within the local community.

In addition, all submitted proposals need to meet at least one of the following expected outputs:

#### • <u>OUTPUT 1</u>: Products and services (including rapid product prototypes) :

- enhancing nature-based-solutions, promoting green spaces and supporting urban greening.
- responding to citizens' real needs in urban, and regional spaces, as well as improving accessibility and quality of experience of citizens in their daily lives, i.e. leisure, working, moving around and commuting etc.
- delivering both online and offline (in person) support, as well as public and private realm infrastructure and facilities with a focus on specific vulnerable populations, i.e., elderly, children, ethnic minorities, marginalised groups etc.
- promoting long-term use and thinking, and reduce by design; also maintenance, reusing, refurbishing, remanufacturing repurpose and recycling of resources and waste, including digital and monitoring tools, as well as improvement of current state-of-the-art industrial manufacturing processes.

#### • <u>OUTPUT 2</u>: Co-design and co-stewardship of:

- green spaces and natured-based-solutions through public-private partnerships and social participation.
- o on nature-based solutions access, and amplification of green spaces, as well as their collective stewardship
- public realm and commons with civil society and other stakeholders to favour diversity while strengthening inclusivity and equitability, as well as promoting co-ownership and care of public and private spaces.
- urban regeneration in less favoured areas, including most polluted zones, neglected or dangerous neighbourhoods, remote areas with poor communication, and districts with limited services.
- transforming the public space embedded into the urban ecosystem towards circularity taking into account sustainability, aesthetic and social aspects. For example, harvesting ambient water or energy for use on site, cycle nutrients locally, create such an environment that support biodiversity, human health and wellbeing.

## 3.5 Key Performance indicators (KPIs)

Each proposal must address a minimum of 2 mandatory KPIs from the provided list below and specify the minimum target value expected for each chosen KPI. The KPIs might be subject to amendment depending on the scope of the project and the designated coordinating KIC during the conditions clearing phase.





KPI Code	KPI name	KPI description	Minimum Target expected
KSN03 Public realm improvements		Public realm is a platform for life with a diversity of urban functions to live, work, shop, relax, encounter and play, commonly defined as all areas between buildings including streets, boulevards and open squares that are accessible to the public. This KPI includes both: a) small-scale and temporary changes, using low-cost and scalable interventions, as the first step in informing long-term systemic change, and b) permanent investment as part of a public realm action plan and/or a walking and cycling strategy, and/or parking interventions Reporting requirement in the final performance report as structured data on: List incl. the type, title and short description	
KSN02	Demonstrations/ pilots/ living labs within a project that actively involve citizens and/or local associations	Actively engaged an appropriate amount of citizens/community representatives of various age and social groups in running a demonstration/successful pilot/living lab* to encourage experimentation, research and the development of solutions to city challenges and issues; and, where relevant: mobilised local citizen associations and community groups to help maximise reach out to citizens. The aim is to ensure acceptance of measures, raise awareness for opportunities or restrictions that come with measure implementation, and enhance ownership of measures. <ul> <li><i>Living labs are defined as user-centred, open innovation ecosystems based on a systematic user co-creation approach integrating research and innovation processes in real life communities and settings. Living labs place the citizen at the centre of innovation.</i></li> <li>Reporting requirement in the final performance report as structured data on: List incl. the type, title, number of engaged participants and short description.</li> <li>In Annex the signed and dated participant lists are mandatory with family names and signatures according to GDPR.</li> </ul>	1
Strengthened resilience to the unavoidable impacts o climate change		People with strengthened climate resilience Reach of activities with awareness and capability building effects for climate change mitigation, adaptation, green skills and futures literacy. Reporting requirement in the final performance report as structured data on: List of activities/events incl. the type, title and short description and number of participants	50
CL02		Combined 1 new jobs created in start-ups/scale-ups, and 1 jobs/employment in existing businesses, partners sustained through innovations	2
KIC.I10 KIC.I10 Caljusted for the purposes of the given Call as MO1)		Number of events organised to engage with citizens in the co-creation. EIT Community NEB beneficiaries report at proposal submission (CfP), whether their proposed activities engage citizens in a variety of co-creation approaches, methods and processes.	2
KIC.B05.1	Transition towards greater circularity EITM KPI KIC.BO5.1 (adjusted for the purposes of the given Call as MO2)	Activities that lead to a reduction of material consumption (related to the process and/or product targeted) in the Connect NEB programme. Innovation activities will be required to report at the end of the activity (programme) if the results of the innovation activity that enables the activity partners to transition towards greater circularity have been implemented and the process or product in question has become (more) circular. Start-ups supported will be required to report if respective products or services have been brought to the market and material consumption rates are or, could be, lowered at the level of clients.	1





		By the end of the EIT Community Programme, EIT Community NEB beneficiaries are asked to report on to which degree has their activity met its overall objective of becoming (more) circular.	
FD01	Increased public engagement in food system	People taking part in EIT Food co-creation activities	50
FD02	New skills and professions developed in the food sector	New skills and profession developed through the activity proposed.	1

The selected KPIs must be delivered during the project implementation and reported in the final report.

# 3.6 Project duration

Projects selected to this Call for Proposals will have a duration of **up to 11 months** (tentative start date: February 2024).

As indicated in the EIT Community NEB Project Implementation Handbook 2023, in case the project requires additional time to complete its workplan and/or achieve the KPIs, the Project Leader will have to request a project extension from the EIT Community NEB. If the extension is approved, the project will be allowed to continue with the implementation without any additional EIT funding.

# 3.7 Financial aspects

# *3.7.1 EIT funding allocation and co-funding rate*

The total maximum **estimated EIT funding** allocated to this Call for Proposals is  $\in$  360,000. Eight projects will be selected with the maximum funding of  $\in$  45,000 per project.

Additionally, all proposals must have a minimum co-funding rate<sup>3</sup> of 25% across the project. Partners in the consortium may have different co-funding rates as long as the overall project co-fund meets the required 25% minimum.

Any co-funding rate above 25% will be positively assessed by the EIT Community NEB Selection Committee.

# 3.7.2 Financial sustainability

Within the EIT Community NEB, the KICs have developed a Financial Sustainability (FS) Strategy, to enable the KICs to gradually become financially independent from EIT funding. These FS plans are based on a mix

<sup>&</sup>lt;sup>3</sup> Co-funding refers to financial contributions such as partners' own resources and/or other non-EIT Community NEB funding sources.





of different mechanisms, such as revenue share and equity stakes. The FS strategy aims to create a perpetual innovation fund that will sustain innovation beyond the predefined cycles of European Commission block grants. This financial independence will be based on a mix of both active earned income and passive investment revenue.

For the Co-create NEB projects, even if the provision of a FSM for EIT Community NEB is not a mandatory element for 2023-2024, it will be assessed as part of the evaluation criteria (see section 5.2). Accordingly, each applicant should have a credible operational strategy for their own product/service/solution evidenced by a credible operational forecast for their specific product/service/solution to be scaled/replicated during the project implementation. We are aiming for long lasting effects and sustainability of the project, ensuring that the activities continue after the completion of the EIT Community NEB project. In the Application form it is encouraged to outline the potential economic impact, a potential planned period of project continuation (e.g., 1-2-3 years or beyond), and how it is planned to be achieved (e.g., based on viable operational model, or fresh funding from other grants, etc.). Measures on exploiting the obtained data should be described, including how this could potentially be used as a project's marketing and/or sales plan.

## 3.8 Project implementation, monitoring and reporting

EIT Community NEB will manage all projects according to the general rules and procedures outlined in the EIT Community NEB Project Implementation Handbook.

All Project Leaders will need to comply with the rules and procedures defined in the Horizon Europe MGA during the project implementation. In particular, they will have to comply with the rules and procedures defined in the EIT Community NEB Project Implementation Handbook 2023 published on the Call webpage and the Financial Support Agreement that each partner will have to sign with each KIC.

In addition, all Project Leaders and consortium partners will need to comply with the rules and procedures defined in the Horizon Europe MGA, with special attention to Article 16 (Intellectual Property Rights) and Article 17 (Communication, Dissemination and Visibility). Most particularly, all project activities must follow the branding guidelines and obligations as set out in MGA Article 17. All communication and dissemination activities funded by the grant must display the special logo of the EIT Community New European Bauhaus with the following text: "EIT Community New European Bauhaus [project name] is supported by the European Institute of Innovation and Technology (EIT), a body of the European Union") and related communication materials must include the official logo of both: New European Bauhaus and EIT Community New European Bauhaus logos.

EIT Community NEB will provide mentoring for the winners of the current Call to enlarge the impact of the activity through NEB values/principles, to assist in designing business model/scale up within NEB values/principles.





# 3.8.1 Mandatory deliverables

To prove the successful implementation of the project, and consequently to have the right to receive the EIT Community NEB funding according to its defined value, the following minimum mandatory deliverables are expected to be submitted and successfully approved by the EIT Community NEB.

Deliverable	Description	Te	entative Date
Intermediate report	Report presenting activities implemented during the first half part of the p including challenge description, initial outputs according to the submitted plan, progress so far, applied methodology, stakeholder involvement, identification of potential risks and mitigation measures if required, etc.	-	Mid June 2024
Final performance report, including report on KPIs	Report presenting activities implemented during the whole period of the p and final conclusions. - Overall progress of the activity: brief description, methodology, work plar achievement. - KTI workshop results for future exploitation and research (including proof addressing branding requirements). - Outputs and outcomes. - Potential impact on local policies: feedback from local authorities and imp their strategy. - Conclusions: overall management and recommendations for further repli and/or upscaling the realised activity. - Demonstrating the evidence of the KPIs publications/dissemination/communication events (title, description, views/participants, events pages, agenda, pictures, signed and dated participant lists with family names and signatures anonymised, pictures/videos/graphic/image/ infographics) that were able to be achieve according to the pre-defined targets of the application.	n and f of pact on cation	End December 2024

Minor additional deliverables may be needed (e.g. slide decks and presentations), depending on the projects' scope, and/or the product/service solution.

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# 4. General proposal preparation and submission

# 4.1 Support on proposal preparation

A document on *Guidelines for Applicants*, recorded webinars and contact details are available to guarantee the maximum support to applicants during the proposal preparation process.

#### **Guidelines for Applicants**

EIT Community NEB has developed the *Guidelines for Applicants* document with the aim of assisting all potential applicants during the proposal preparation and submission processes. The *Guidelines for Applicants* document is published on the Call webpage and provides a full set of information and instructions to prepare and submit a proposal to this Call.

#### Call information session

To help applicants with the preparation and submission of their proposals, EIT Community NEB will host an online information session after the publication of the Call. This online information event will be focused on the Call content, the challenges, and requirements, as well as on the general procedures, such as the submission and evaluation process, the financial aspects and the monitoring and reporting activities.

Please find details below. **To register for the webinar**, please fill in the registration form: <u>https://forms.office.com/e/Pv5AAtaBy2</u>

To register for the online matchmaking event, please fill in the registration form: <u>https://forms.office.com/e/nWuFesitmf</u>

Type of event	Topic covered	Date and time (CET)	Access to platform
Online Webinar	<b>Co-create NEB Call Information session</b> Introduction to the Call, strategic focus, scope and Call requirements, and evaluation process, evaluation and selection process, financial aspects and PLAZA submission tool, tips to applicants, matchmaking support.	31 <sup>st</sup> July 2023, at 11:00 CEST	MS Teams (LIVE) (Recording to be available later in our website)
Online match	Matchmaking event	8 <sup>th</sup> of September at 10:00 CEST	MS Teams (LIVE)



making	Registered participants will present their organization,		
event	their strengths and needs to form a consortium in		
	4min. Template will be sent after registration		
		I.	

#### Call contact points

In parallel to the Call information sessions, all applicants may contact EIT Community NEB to resolve any concerns or doubts on the general/technical procedures and Call content. These are the key contact details of the EIT Community NEB team for questions related to this Call:

Type of contact	Team	Email
For legal, financial and administrative procedures, including questions about PLAZA submission tool	Programme Management Office (PMO)	pmo@eiturbanmobility.eu
	EIT Urban Mobility	maria.marrugat@eiturbanmobility.eu
For content, topic-related related	EIT Climate KIC	Natalia.vera@climate-kic.org
questions	EIT FOOD	Marianne.lemberger@eitfood.eu
	EIT Manufacturing	blanca.chocarro@eitmanufacturing.eu

In the email subject please insert Co-create NEB Call and acronym of your project.

## 4.2 Proposal submission

## 4.2.1 How and when to apply

Before starting a proposal, all applicants (Project Leader and consortium partners) must register on the following two platforms:

- <u>The EU Funding & tender opportunities portal</u> in order to obtain the 9-digit Participant Identification Code (PIC number). If the participant already has a PIC number, there is no need to register again.
- The EIT Urban Mobility PLAZA tool to complete your Partner Information Form (PIF).

The following documentation must be submitted by the Project Leader, on behalf of the consortium partners, through the <u>PLAZA e-submission platform</u> no later than **16<sup>th</sup> October 2023 at 17:00 CET**.

- Application Form (mandatory)
- Annexes to the Application form: Registration document/Declaration of affiliation (mandatory only if applicable), project Gantt chart (optional).





Please carefully read the registration and submission process. It is outlined in the *Guidelines for Applicants* document. Be aware that the registration of a new entity in PLAZA can take 2 working days. Therefore, ensure that **all the project partners** are correctly registered in PLAZA a few days before the deadline.

Any proposals submitted after the set deadline will be ineligible.

# 5. Evaluation and selection process

Once the applicants have submitted their proposals, EIT Community NEB will proceed to:

- Check eligibility and admissibility of those proposals and, if successful,
- Initiate the evaluation of the content by independent experts.

# 5.1 Eligibility and admissibility check

A proposal will be eligible if:

1. Completeness	The submitted proposal is completed, submitted on time by the Project Leader via the PLAZA submission tool, in English, and with all its mandatory sections and annexes.
2. Applicants registration	Applicants (including Project Leader and consortium partners) are registered in both the EU Participant Portal (to obtain the PIC number) and in the PLAZA submission tool (PIF fully completed). NB: The lack of any information within the Partner Information Form (PIF) in PLAZA by one or more applicants, may result in the complete ineligibility of the project.
3. Applicants' eligibility	Applicants (including Project Leader and consortium partners) are entities based in a EU Member State (MS), and/or in <u>Third countries</u> associated to Horizon Europe, as defined in Section 2.2.
4. Consortium composition	As defined in Section 2.2., consortium with a minimum of two and a maximum of four partners and meeting the following requirements:





	<ul> <li>At least two of these partners must be independent of each other<sup>4</sup></li> <li>One of these partners must be a city, region or an affiliated entity to a city or region</li> </ul>		
5. Registration document/Declaration of affiliation (if applicable)	In case of new entities with direct links with a city/region not previously validated in EIT Urban Mobility PLAZA as an Affiliated Entity, the legal registration/official document or a declaration of affiliation that prove the legal affiliation with said city/region must be uploaded together with the application form.		
6. Co-funding rate	The submitted across the proj	proposal must have a minimum co-fundi ject.	ng rate of 25%
7. KPIs addressed	The submitted proposal must identify and address the minim mandatory KPIs and the minimum target value as listed below:		elow:
	KPI Code	KPI title	Minimum Target value
	KSN03	Public realm improvements	1
	KSN02	Demonstrations/ pilots/ living labs within a project that actively involve citizens and/or local associations	1
	CL01	Strengthened resilience to the unavoidable impacts of climate change	50
	CL02 Climate-friendly jobs created or sustain		2
	KIC.110	Events organised (adjusted for the purposes of the given Call as MO1)	2
	KIC.B05.1	Transition towards greater circularity EITM KPI KIC.BO5.1 (adjusted for the purposes of the given Call as MO2)	1
	FD01	Increased public engagement in food system	50
	FD02	New skills and professions developed in the food sector	1

<sup>&</sup>lt;sup>4</sup> Legal entities shall be regarded as independent of each other where neither is under the direct or indirect control of the other or under the same direct or indirect control as the other. Please refer to the entire definition in Article 8: https://ec.europa.eu/research/participants/data/ref/h2020/legal\_basis/rules\_participation/h2020-rules-participation\_en.pdf





8. Mandatory deliverables

The submitted proposal includes the mandatory deliverables identified in section 3.8.1

Proposals containing one or more ineligible elements will receive an official communication from the EIT Community NEB, setting out the outcome of the admissibility and eligibility check and explaining why the proposal failed to meet the criteria.

In the case of missing or incorrect information linked to partner registration, registration document/declaration of affiliation, deliverables, co-funding and KPIs, applicants will be given 5 calendar days from the official communication for the completion of the application. If the applicants respond positively to this requirement and within the time limit, the proposals will be sent to the next step of the evaluation process (see section 5.2 Evaluation of proposals).

If the applicants fail to respond or respond after the deadline, the proposals will remain ineligible and will not be further processed. In the case where one single consortium partner is ineligible, this partner will step down. EIT Community NEB will then check if the proposal is still eligible or not. The Project Leader will be informed accordingly.

The Project Leader of any proposal deemed inadmissible/ineligible may dispute the ineligibility decision. An appeal must be made within 5 calendar days of the official EIT Community NEB notification of ineligibility (see document *Appeal procedure* published on the Call webpage).

# 5.2 Quality evaluation of proposals

The purpose of the quality evaluation is to assess the excellence, impact, implementation, and overall quality of each proposal that successfully passes the eligibility and admissibility check.

This **quality evaluation** carried out by three independent expert evaluators. These experts will be invited to evaluate the proposals and produce a Summary Evaluation Report (SER) per proposal assessed. The result of each SER will be sent to the EIT Community NEB Selection Committee.

Each evaluation phase is comprised of different groups of criteria and sub-criteria which will be assessed according to the following scores:

Score	Description		
0 None The information requested is missing or incomplete		The information requested is missing or incomplete	
1	Very poor	The information provided is considered irrelevant or inadequate compared to the specific Call provisions	
2	Poor	The information provided lacks relevant quality and contains significant weaknesses, compared to the specific Call provisions	





3	Fair	The overall information provided is adequate, however, some aspects are unclearly or insufficiently detailed, compared to the specific Call provisions
4	Good	The information provided is adequate with sufficiently outlined details, compared to the specific Call provisions
5	Excellent	The information provided is outstanding in its details, clarity and coherence, compared to the specific Call provisions

The proposals are evaluated and scored against the criteria listed below:

Excellence: novelty and innovation	Max. scoring 15
• The proposal objectives are SMART (Specific, Measurable, Achievable, Realistic and Time Bound) and in line with the NEB challenges	5 points
• The proposed solution, methodology, and/or the engagement strategy is innovative: it uses creative and stimulating formats to co-create public space, thus boosting the transformation of their cities, peri-urban and rural areas.	5 points
• The proposal demonstrates the social demand, its need and relevance for the city/region and society, with at least two identified target groups.	5 points

Impact: social, economic, financial, and general sustainability	Max. scoring 30
• The proposal's expected outcomes, outputs and impacts are measurable at a quantitative and a qualitative level. Adequate performance metrics of the proposed solution are provided to objectively evaluate the sustainability, inclusivity and aesthetic value.	5 points
• The proposal demonstrates the relevance of the benefits of the project to improve the selected public space or to a local strategic plan/policy [city's public realm infrastructure or and urban development plan, see further info in section 3.4].	5 points
• The proposal supports gender equality and promotes wider diversity and inclusiveness	5 points
• The proposal presents a detailed outreach, dissemination and end-user engagement plan that targets specific audiences and stakeholders in the local context and is aligned with the challenge area (in line with MGA Article 17).	5 points
• The proposal has the potential to be implemented on a broader scale or replicable in various cities (the outcomes are repeatable and/or scalable).	5 points
• The proposal's potential economic impact is outlined to ensure potential future durability and transferability. Measures on exploiting the obtained data should be described, including how this could potentially be used as a project's marketing and/or sales plan	5 points

Implementation: planning and sound financial management	Max. scoring
Implementation: planning and sound financial management	15





• The workplan and budget including the identified deliverables and milestones, is aligned with the proposed objectives, KPIs and expected outcomes, impact projections.	5 points
• The proposal provides effective and professional management structures to attain the defined outcomes. The consortium represents the right competencies and roles in accordance with the scope of the proposal. The role of and resources made available by the city/local authority are well defined	5 points
A clear risk and mitigation plan is provided.	5 points

The total scoring of 60 points is distributed as follows:

	Max score
Excellence	15 points
Impact	30 points
Implementation	15 points
Total points	60points

If proposals receive the same scoring, funding prioritisation will be based on the following scoring order:

- Geographical spread within the EIT Community NEB Portfolio
- Long lasting sustainability ensuring activities continue after the completion of the EIT Community NEB project (section 3.7.2, IPR and exploitation results)
- Co-funding rates higher than 25%

This will be specifically brought to the attention of the EIT Community NEB Selection Committee.

## 5.3 Portfolio selection

The EIT Community NEB Selection Committee will select the projects to be funded. This final portfolio selection will be based on the Call Report, the SERs and the ranking list of the Evaluation results list. Only proposals ranked **equal or over 40 points (threshold)** will be passed to the Selection Committee.

The NEB Selection Committee is composed of the EU Affairs and RIS Director of EIT Manufacturing, the Strategic Projects Director of EIT Urban Mobility, the Performance Developer of EIT Climate-KIC and the Director of EIT Community of EIT Food.

The EIT Community NEB Selection Committee will agree on the final list of projects proposed for funding (portfolio selection), as well as the projects proposed for inclusion in the reserve list (in case further EIT Community funding is available in the future) and proposed for rejection. In addition, the EIT Community NEB Selection Committee may provide a list of strategic conditions to be addressed by the applicants of







these selected projects and, make recommendations to improve the proposals. As part of this process, the EIT Community NEB may also issue technical conditions that will be included in the conditional offer.

Additionally, the EIT Community NEB retains the right to reach out to proposals listed in the reserve list in the event that additional EIT funds become available in the current or next Business Plan.

Finally, the EIT Community NEB reserves the right to reach out to proposals whose projects have been successfully implemented and have a well-defined replication/scale-up strategy outlined in their final report. These proposals may be contacted to contribute to the enhancement of NEB if additional EIT funds become available in the current or next Business Plan. The selection process for scaling up the most promising Co-create NEB projects will be based on the final report, and additional strategic documents may be requested to support the final decision. Guidance to those projects will be provided in due time.

# 5.4 Communication of results to applicants

The Project Leader will receive an email notification with the results of the evaluation.

If the proposal is rejected or included in the Reserve List, the Project Leader will receive the corresponding communication together with their respective SER.

In case the proposal is pre-selected, the evaluation results may include a set of recommendations/conditions. The communication will set up a defined and non-negotiable deadline. The Project Leader will respond to and update the PLAZA proposal according to these recommendations/conditions within the outlined timeframe. The process for the final approval of projects, including the conditions clearing phase, will take a minimum of 30 days.

If the Project Leader fails to comply with the provided recommendations/conditions or does not respond by the deadline, the EIT Community NEB Selection Committee reserves the right to withdraw the conditional notification. In such a case, the next proposal by ranking included in the Reserve List will be contacted.

## 5.5 Appeal on Evaluation Results

The Project Leader who disagrees with the decision may appeal only in the event where a SER comment is in clear contradiction with the information provided in the proposal. In this case, the Project Leader upon receipt of the final SER, will have 5 calendar days to submit an appeal. See *Appeal Procedure document* published on the Call webpage.